

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PW FORM PAT-1449)
Patent and Trademark Office

Atty.
Dkt. No.

M#

Client Ref.

061047

0268225

RM-1

Applicant: Alan Asay, et al.

Appln. No.: 09/943,086

Filing Date: August 31, 2001

Examiner: Paul E. Callahan

Group Art Unit: 2437

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date: July 26, 2010

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U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR 5,903,882	05/1999	ASAY et al.	705	44	
	BR 6,353,812	03/2002	FRANKEL et al.	705	44	
	CR 5,453,601	09/1995	ROSEN	705	65	
	DR 5,455,407	10/1995	ROSEN	705	69	

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
	ER WO 95/23465	08/1995	WIPO	YACOBI				
	FR WO 96/21192	07/1996	WIPO	MANDLER et al.				
	GR WO 96/31965	10/1996	WIPO	DOGGETT et al.				
	HR WO 96/32701	10/1996	WIPO	PAYS et al.				

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	IR	International Search Report as issued for PCT/US00/02013, dated July 4, 2000				X	
	JR	Bellare, M., et al., "iKP - A Family of Secure Electronic Payment Protocols", Proceedings of the Usenix Workshop of Electronic Commerce, Jul. 11, 1995, pp. 89-106.					
	KR	International Search Report as issued for PCT/US97/22136, dated July 6, 1998				X	
	LR	Kocher, Paul, "A Quick Introduction to Certificate Revocation Trees (CRTs), A Quick Introduction to CRTs, 3 pgs., no date.					
	MR	Written Opinion as issued for PCT/US97/22136, dated August 18, 1998				X	
	NR	Second Written Opinion as issued for PCT/US97/22136, dated December 14, 1998				X	
	OR	International Preliminary Examination Report as issued for PCT/US97/22136, dated February 23, 1999				X	
	PR	Result of consultation with the Examiner as issued for European Patent Application No. 97951534.3, dated August 31, 2007				X	
	QR	Decision to refuse a European Patent application as issued for European Patent Application No. 97951534.3, dated October 26, 2007				X	
	RR	Summons to attend oral proceedings as issued for European Patent Application No. 97951534.3, dated June 25, 2007				X	
	SR	First Office Action as issued for European Patent Application No. 97951534.3, dated April 12, 2001				X	
	TR	Second Office Action as issued for European Patent Application No. 97951534.3, dated June 5, 2003				X	
	UR	Third Office Action as issued for European Patent Application No. 97951534.3, dated September 8, 2006				X	

Examiner

/Paul Callahan/

Date Considered:

08/27/2010

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	VR					
	WR					
	XR					
	YR					

FOREIGN PATENT DOCUMENTS

CREATION OF THE DOCUMENT						Abstract		Readily Available		
		Document Number	Date MM/YYYY	Country	Inventor Name		Enclosed	No	Enclose	No
	ZR									
	AAF									
	BBF									
	CCF									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	DDF	Official Notice of Final Decision of Rejection as issued for Japanese Patent Application No. 526,801/1998, dated March 18, 2008			X	
	EEF	Official Notice of Final Decision of Rejection as issued for Japanese Patent Application No. 2008-185240, dated October 20, 2009			X	
	FFF	Examiner's first report on Australian Patent Application No. 55153/98, dated April 11, 2000			X	
	GGF	First Office Action as issued for Chinese Patent Application No. 97181385.X, dated April 5, 2002			X	
	HHF	First Office Action as issued for Russian Patent Application No. 99115094/09 (016326), dated July 9, 2002			X	
	IIR	Second Office Action as issued for Russian Patent Application No. 99115094/09 (016326), dated December 15, 2002			X	
	JJR	Cervenka, Dana, "Bricking up the 'Net to make it safe for business", CED: Communications Engineering & Design, August 1996, pages 46-49				
	KKF	Stipe, Suzanne, "High-tech cure is at hand for Internet insecurities", Best's Review - Life-Health Insurance Edition, Vol. 97, No. 5, Sept. 1996, pg. 106(2)				
	LLF					
	MMF					
	NNF					
	OOF					
	PPF					

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